

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Allowed: November 20, 2000

CORR

Batch No. V33

Serial No.: 08/976,658

Group Art Unit: 1751

Filed: November 24, 1997

Examiner: Ogden, Jr.

Title: WORKING FLUID COMPOSITION
CONSISTING ESSENTIALLY OF A
MIXTURE OF HYDROFLUORALKANES
AS HEAT TRANSFER FLUID AND A
PENTAERYTHRITOL- OR
DIPENTAERYTHRIOOL-BASED ESTER
LUBRICANT



37
MMT
3-13-01

February 20, 2001

SUBMISSION UNDER RULE 312

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

The applicant wishes to make of record the following additional prior art
which has come to attention with respect to foreign counterparts of the present
application:

U.S. 5,053,155	EP 415778
U.S. 5,037,570	EP 379175
U.S. 4,971,712	EP 386851
AU 13959/92	EP 400894
AU 19873/92	EP 421765
AU 23588/92	EP 536940
AU 48557/90	JP 03170586
AU 74076/91	JP 03170588
AU 91433/91	AU 91738/91

The 1993 International CFC and HALON Alternatives Conference - Stratospheric Ozone Protection for the 1990's - a paper by Kelly A. Geiger presented 12 October 1993 and entitled "DuPont Suva AC9000 - A Developmental Alternative to HCFC-22 For New Equipment or Service"

Actes Congress International Froid 18th (1991) Vol. 2, pp. 881-887, "Alternative Refrigerants and Lubricants for Refrigeration Compressors", by S.G. Sundaresan which was publicly available at the DuPont Library on 15 August 1991

Brochure: An Introduction to Emkarate Refrigeration Lubricants

Paper: Ozone Protection and Commercial Refrigeration in the Supermarket Industry

The applicant does not consider the references relevant to the invention. However, the applicant would like to have the references available in the file for general information.

Copies of these documents are being obtained and will be submitted for the file.

Respectfully submitted,

Pillsbury Winthrop LLP


By _____

Paul N. Kokulis
Reg. No. 16773

PNK:mh
1100 New York Avenue, N.W.
9th Floor - East Tower
Washington, D.C. 20005-3918
Phone: (202) 861-3503